

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In re Application of:

James M. Derderian

Serial No.: 09/938,106

Filed: August 23, 2001

For: ASSEMBLIES INCLUDING

STACKED SEMICONDUCTOR

DEVICES SEPARATED BY DISCRETE CONDUCTIVE

ELEMENTS THEREBETWEEN, PACKAGES INCLUDING THE

ASSEMBLIES, AND METHODS

Examiner: P. Costanzo

**Group Art Unit: 2811** 

**Attorney Docket No.:** 4832US (01-0104)

CERTIFICATE OF MAILING

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August 28, 2002 Date of Deposit

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## RESPONSE TO RESTRICTION AND ELECTION OF SPECIES REQUIREMENTS

Box NON FEE – AMENDMENT Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This communication is in response to the Restriction and Election of Species Requirements mailed on August 1, 2002, the initial period for response to which expires on September 1, 2002.

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/938,106 **TRANSMITTAL** SEP August 23, 2001 Filing Date **FORM** James M. Derderian First Named Inventor be used for all correspondence after initial filing) **Group Art Unit** 2811 P. Costanzo **Examiner Name** Attorney Docket Number 2269-4832US (01-0104) ENCLOSURES (check all that apply) Information Disclosure Statement, Terminal Disclaimer Postcard receipt acknowledgment PTO/SB/08A (08-00); Copy of (attached to the front of this transmittal) cited references Terminal Disclaimer Supplemental Information Disclosure Duplicate copy of this transmittal Statement; PTO/SB/08A (08-00); copy sheet in the event that additional of cited references and Check No. filing fees are required under in the amount of \$180.00 37 C.F.R. § 1.16 Terminal Disclaimer Preliminary Amendment Associate Power of Attorney Petition for Extension of Time and Response to Restriction Requirement/Election of Species in the amount of Check No. \$ Requirement dated August 1, 2002 Petition Amendment in response to office action dated Fee Transmittal Form Amendment under 37 C.F.R. § Other Enclosure(s) 1.116 in response to final office (please identify below): action dated Certified Copy of Priority Document(s) Additional claims fee - Check No. in the amount of \$ Assignment Papers (for an Application) Letter to Chief Draftsman and copy of FIGS. with changes made in red Remarks Transmittal of Formal Drawings The Commissioner is authorized to charge any additional fees required but not Formal Drawings ( sheets) submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Registration No. 38,581 Brick G. Power Individual name Signature August 28, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. Typed or printed name Deidra Pfeil Date August 28, 2002 Signature

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#### REMARKS

The Office Action mailed on August 1, 2002, has been received and reviewed.

### **Information Disclosure Statement**

Please note that an Information Disclosure Statement was filed in the above-referenced application on August 23, 2001, but that no copy of the Form PTO-1449 that accompanied that Information Disclosure Statement has yet been returned to the undersigned attorney. It is respectfully requested that the cited on the Form PTO-1449 be considered and made of record in the above-referenced application and that an initialed copy of the Form PTO-1449 evidencing the same be returned to the undersigned attorney.

## **Restriction Requirement**

Claims 1-69 are currently pending in the above-referenced application. Each of claims 1-6- is subject to a Restriction Requirement. An election is hereby made, without traverse, to prosecute claims 23-64 of Group II.

## **Election of Species Requirement**

The claims of Group II are also subject to an election of species requirement. The Office has identified the following species of invention:

Species 1, shown in FIGs. 2-8;

Species 2, shown in FIGs. 12-17; and

Species 3, shown in FIG. 18.

From the subject matter illustrated in FIGs. 2-8, it appears that Species 1 is a method that includes applying a quantity of material to a first semiconductor device prior to placing a second semiconductor device thereover. Species 2, which is depicted in FIGs. 12-17, appears to be a method that includes at least partially superimposing two semiconductor devices, then introducing a quantity of material therebetween. As for Species 3, which is shown in FIG. 18, it

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appears to be a method that includes either the process of Species 1 or Species 2 for forming a semiconductor device assembly which includes two or more semiconductor devices and leads.

An election is hereby made, without traverse, to prosecute the invention of Species 2.

It is respectfully submitted that claims 23-35, 40-51, and 53-64 read on Species 2. It is also submitted that claims 23-32, 36-52, and 56-64 read on Species 1 and that claims 23-39, 45-58, 63, and 64 read on Species 3.

It is further submitted that claims 23-32, 45-51, 56-58, 63, and 64 recite subject matter which is generic to each of Species 1, 2, and 3.

Accordingly, it is respectfully submitted that all of claims 23-64 should remain under consideration.

A prompt action on the merits of claims 23-64 is respectfully solicited.

Respectfully submitted,

Brick G. Power

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